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# The Variable-Processor Cup Game

Alek Westover

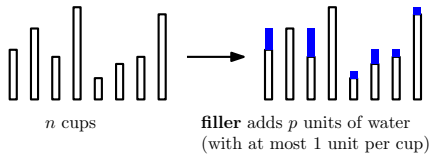
Belmont High School

June 7, 2020

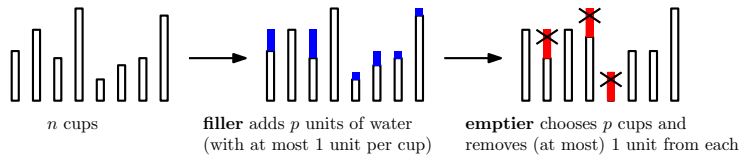
# $p$ -PROCESSOR CUP GAME ON $n$ CUPS



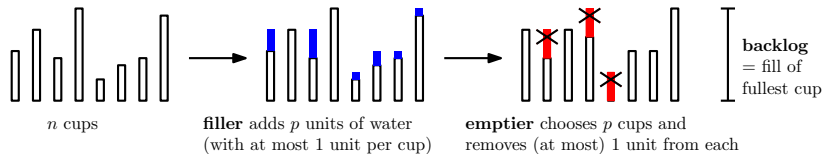
# $p$ -PROCESSOR CUP GAME ON $n$ CUPS



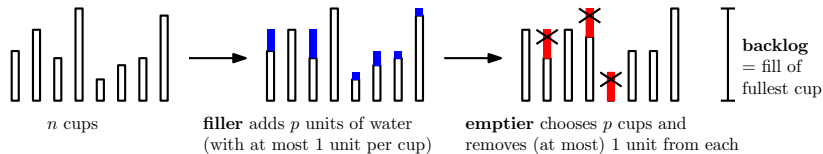
# $p$ -PROCESSOR CUP GAME ON $n$ CUPS



# $p$ -PROCESSOR CUP GAME ON $n$ CUPS



## $p$ -PROCESSOR CUP GAME ON $n$ CUPS



- ▶ Filler: wants high backlog
- ▶ Emptier: wants low backlog

In this talk we take the side of the filler (we want high backlog)

# IMPORTANT APPLICATION: WORK SCHEDULING

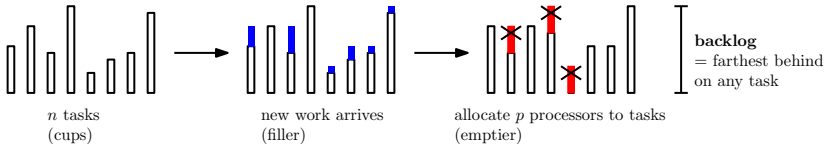
```

- j$ ps -ef
UID      PID  PPID  C  STIME TTY          TIME CMD
root      1    0    0  2019 ?        00:19:04 /usr/lib/systemd
root      2    0    0  2019 ?        00:00:01 [kthreadd]
root      4    2    0  2019 ?        00:00:00 [kworker/0:0H]
root      6    2    0  2019 ?        00:06:06 [ksftimed/0]
root      7    2    0  2019 ?        00:00:00 [migration/0]
root      8    2    0  2019 ?        00:00:00 [rcu_bh]
root      9    2    0  2019 ?        00:07:12 [rcu_sched]
root     10    2    0  2019 ?        00:00:00 [lru-add-drain]
root     11    2    0  2019 ?        00:02:21 [watchdog/0]
root     13    2    0  2019 ?        00:00:00 [kdevtmpfs]
root     14    2    0  2019 ?        00:00:00 [netns]
root     15    2    0  2019 ?        00:00:05 [khungtaskd]
root     16    2    0  2019 ?        00:00:00 [writeback]
root     17    2    0  2019 ?        00:00:00 [kintegrityd]
root     18    2    0  2019 ?        00:00:00 [bioset]
root     19    2    0  2019 ?        00:00:00 [bioset]
root     20    2    0  2019 ?        00:00:00 [bioset]
root     21    2    0  2019 ?        00:00:00 [kblockd]
root     22    2    0  2019 ?        00:00:00 [md]
root     23    2    0  2019 ?        00:00:00 [edac-poller]
root     24    2    0  2019 ?        00:00:00 [watchdogd]
root     30    2    0  2019 ?        00:00:00 [ksm]
root     31    2    0  2019 ?        00:00:00 [kswapd0]
root     32    2    0  2019 ?        00:01:01 [khugepaged]
root     33    2    0  2019 ?        00:00:00 [crypto]
    
```

```

1 [|||||] 14.6% Tasks: 393, 1165 thr; 1 running
2 [||] 2.6% Load average: 1.16 1.71 2.04
3 [|||] 8.0% Uptime: 24 days, 18:15:13
4 [||] 2.0%
Mem[|||||||||||||||||] 4.47G/8.00G
Swp[|||||||||] 1.32G/3.00G
    
```

PID	USER	PRI	NI	VIRT	RES	S	CPU%	MEM%	TIME+	Command
31938	alekwesto	24	0	5472M	182M	?	7.4	2.2	18:53.72	/Applications/iTerm.ap
28074	alekwesto	17	0	4917M	242M	?	2.7	3.0	1:11.52	/Applications/Google C
28091	alekwesto	17	0	8640M	91016	?	2.0	1.1	0:10.85	/Applications/Google C
27313	alekwesto	17	0	5161M	139M	?	1.8	1.7	0:29.51	/Applications/Spotify.
28080	alekwesto	8	0	4474M	48288	?	0.5	0.6	0:08.20	/Applications/Google C
30037	alekwesto	24	0	4196M	2576	R	0.3	0.0	0:00.18	htop
27321	alekwesto	17	0	8858M	210M	?	0.1	2.6	0:12.27	/Applications/Spotify.
71975	alekwesto	24	0	6094M	143M	?	0.1	1.8	22:58.96	/Applications/Dropbox.
72001	alekwesto	17	0	4861M	55752	?	0.1	0.7	4:58.61	/Applications/Dropbox.
28348	alekwesto	17	0	8662M	95192	?	0.1	1.1	0:05.10	/Applications/Google C



## PREVIOUS WORK<sup>1,2,3</sup>

*Adaptive filler*: can see emptier's actions

### Theorem

*With an adaptive filler optimal backlog is  $\Theta(\log n)$ .*

*Oblivious filler*: can **not** see emptier's actions (“blindfolded”)

### Theorem

*With an oblivious filler optimal backlog is between  $\Omega(\log \log n)$  and  $O(\log \log n + \log p)$  (with high probability in short games).*

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<sup>1</sup>[C. L. Liu. Scheduling algorithms for multiprocessors in a hard real-time environment. JPL Space Programs Summary, 1969.]

<sup>2</sup>[William Kuszmaul. Achieving optimal backlog in the vanilla multi-processor cup game. SODA, 2020.]

<sup>3</sup>[M. Bender, M. Farach-Colton, and W. Kuszmaul. Achieving optimal backlog in multi-processor cup games. In Proceedings of the 51st Annual ACM Symposium on Theory of Computing (STOC), 2019.]



# THIS TALK

**Our Question:** What if  $p$  can change?

*Variable-Processor Cup Game:*

Each round the filler can change  $p$

Modification seems small...

## OUR RESULT

The variable-processor cup game and the  $p$ -processor cup game are *fundamentally different!*

# ADAPTIVE FILLER LOWER BOUND ON BACKLOG

## Theorem

*There is an adaptive filling strategy that achieves backlog*

$$\Omega(n^{1-\epsilon})$$

*for any constant  $\epsilon > 0$  in running time*

$$2^{O(\log^2 n)}.$$

# ADAPTIVE FILLER LOWER BOUND ON BACKLOG

## Theorem

*There is an adaptive filling strategy that achieves backlog*

$$\Omega(n)$$

*in running time*

$$O(n!).$$

## UPPER BOUND ON BACKLOG

### Corollary

*A greedy emptier never lets backlog exceed*

$$O(n).$$

This matches our lower bound!

Corollary follows from more general theorem:

### Theorem

*A greedy emptier maintains the invariant:*

$$\text{Average fill of } k \text{ fullest cups} \leq 2n - k.$$

# OBVIOUS FILLER LOWER BOUND ON BACKLOG

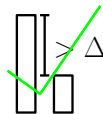
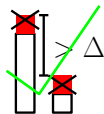
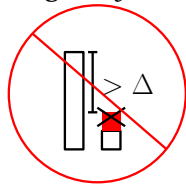
## Theorem

*There is an oblivious filling strategy that achieves backlog*

$$\Omega(n^{1-\epsilon})$$

*for constant  $\epsilon > 0$  with probability at least  $1 - 2^{-\text{polylog}(n)}$  in running time  $2^{O(\log^2 n)}$  against a greedy-like emptier.*

**$\Delta$ -greedy-like emptier:**



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Adaptive Filler  
Lower Bound  
Proof Sketch

# AMPLIFICATION LEMMA

## Lemma

*Given a strategy  $f$  for achieving backlog  $f(n)$  on  $n$  cups, we can construct a new strategy  $f'$  that achieves backlog*

$$f'(n) \geq (1 - \delta) \sum_{\ell=0}^L f(n\delta^\ell(1 - \delta))$$

*for parameters  $L \in \mathbb{N}, 0 < \delta \ll 1/2$ .*

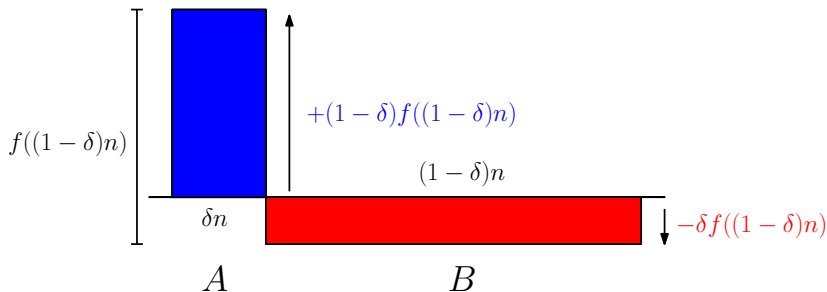
*If the running time of  $f(n)$  is  $T(n)$  the running time of  $f'(n)$  satisfies*

$$T'(n) \leq n \sum_{\ell=0}^L n\delta^\ell T(n\delta^\ell(1 - \delta)).$$

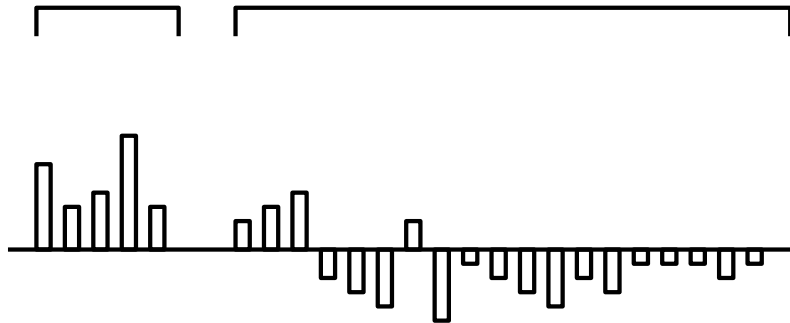


## PROOF META-STRUCTURE

- ▶  $A$  starts as the  $\delta n$  fullest cups,  $B$  as the  $(1 - \delta)n$  other cups.
- ▶ Repeatedly apply  $f$  to  $B$  and swap generated cup into  $A$ .
- ▶ Decrease  $p$ , recurse on  $A$ .

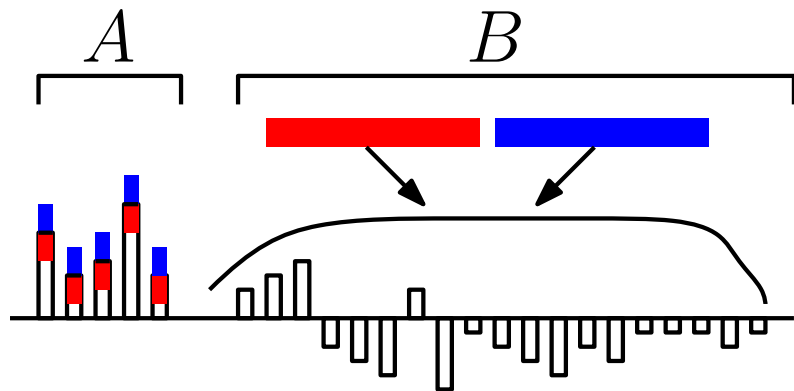


# AMPLIFICATION LEMMA PROOF SKETCH

 $A$  $B$ 

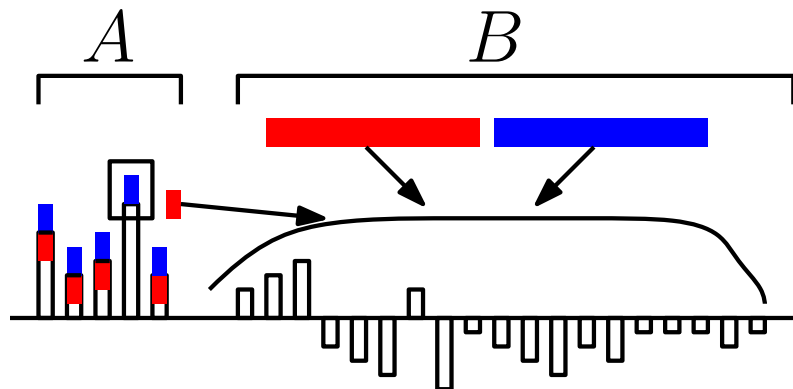
Instantiate  $A$  and  $B$

# AMPLIFICATION LEMMA PROOF SKETCH



Filling Strategy: Place 1 fill in each cup in  $A$ , try to apply  $f$  to  $B$ .

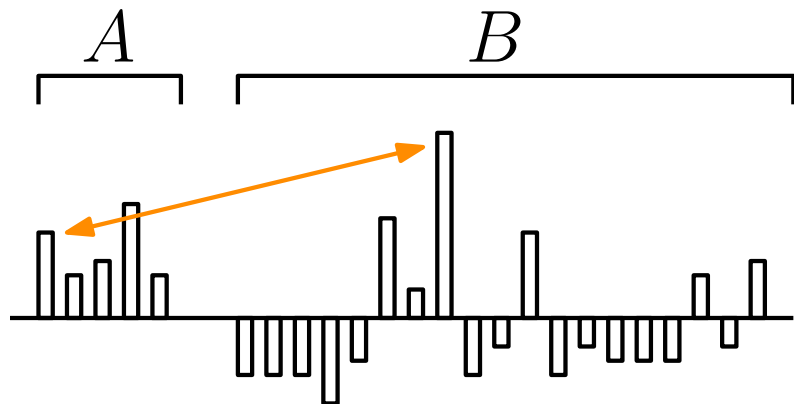
## AMPLIFICATION LEMMA PROOF SKETCH



If the emptier *neglects*  $A$  then the average fill of  $A$  rises!  
We repeat our strategy many times; if the emptier neglects  $A$  too many times we get the desired backlog in  $A$ .

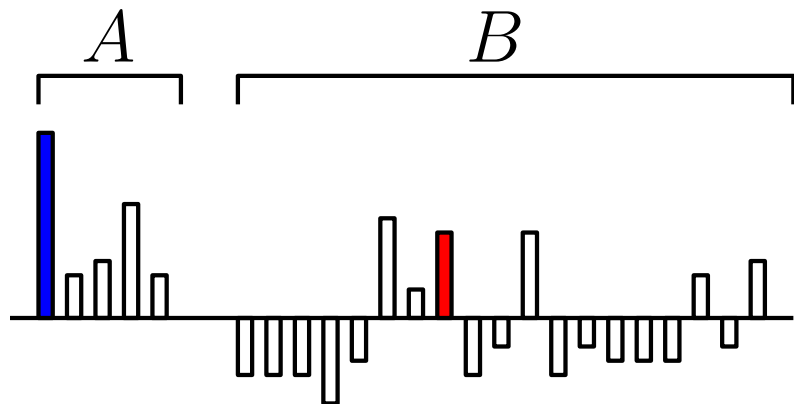


# AMPLIFICATION LEMMA PROOF SKETCH



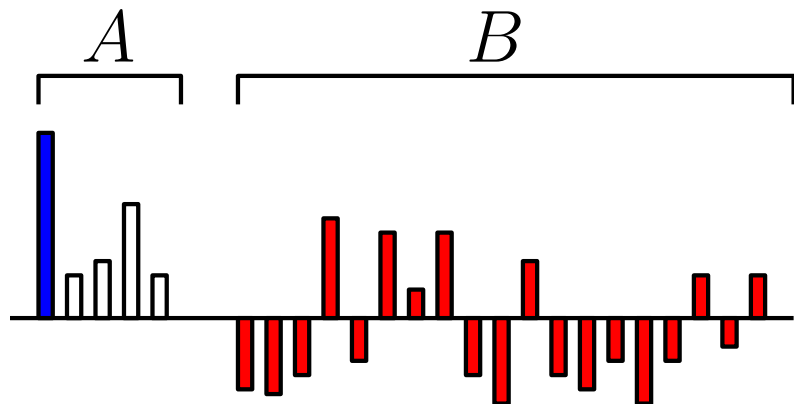
Get a cup with high fill in  $B$ , swap it into  $A$

# AMPLIFICATION LEMMA PROOF SKETCH



Note: swaps increase average fill of  $A$ , decrease average fill of  $B$ .

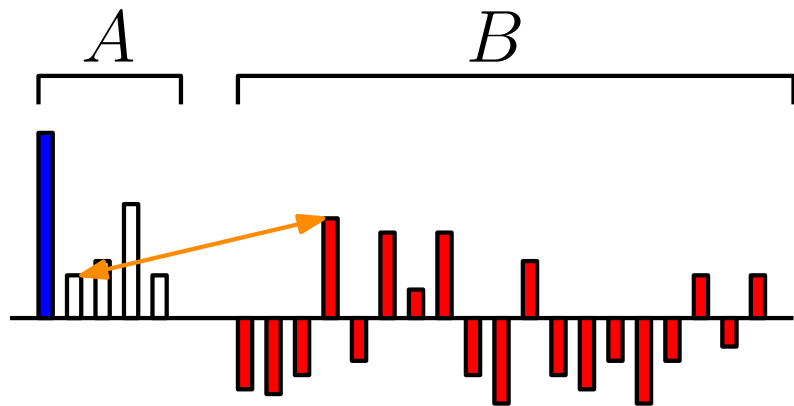
# AMPLIFICATION LEMMA PROOF SKETCH



Apply  $f$  to  $B$  again

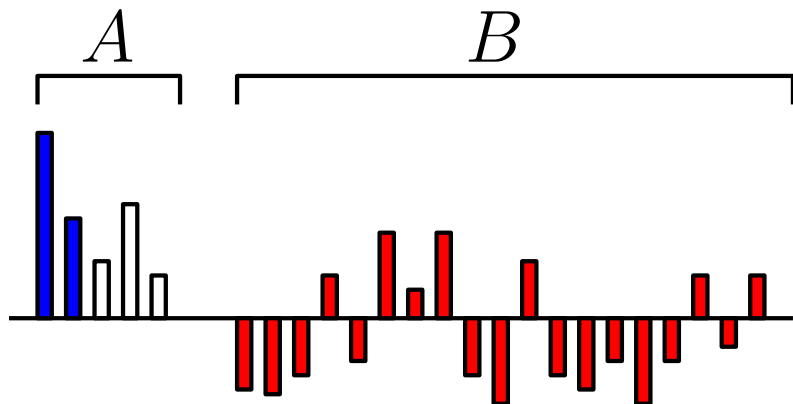


# AMPLIFICATION LEMMA PROOF SKETCH



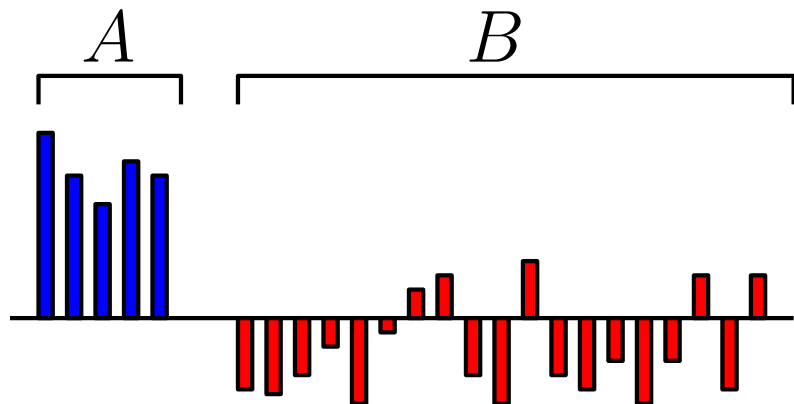
Swap cup into A again

# AMPLIFICATION LEMMA PROOF SKETCH



Swap this cup into A.

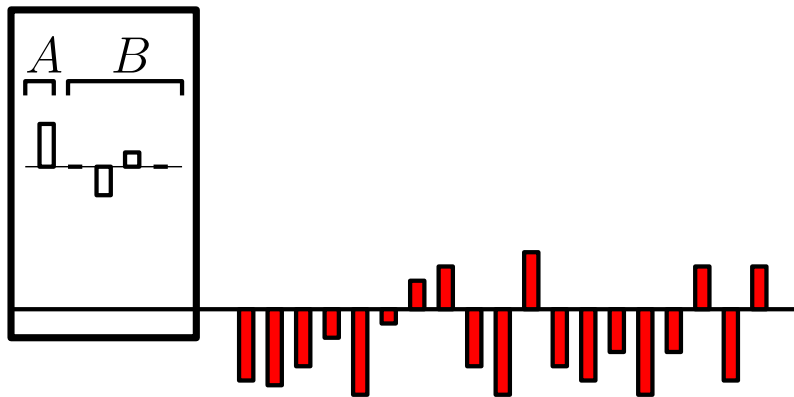
# AMPLIFICATION LEMMA PROOF SKETCH



Eventually average fill of  $A$  is at least  $(1 - \delta)f(n(1 - \delta))$ .

Average fill of  $B$  is  $-(\delta)f(n(1 - \delta))$ .

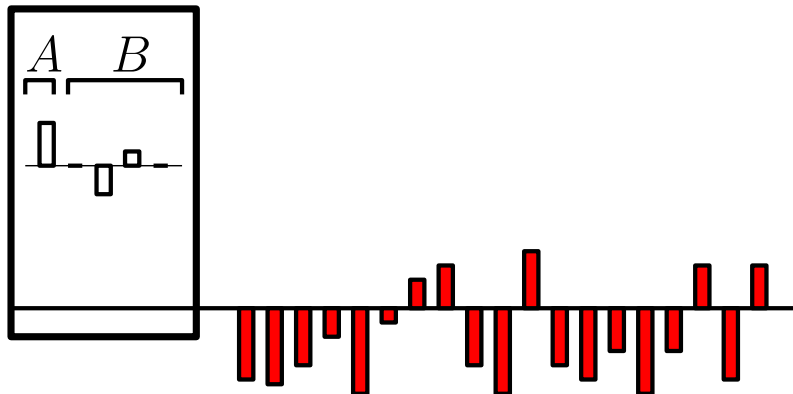
## AMPLIFICATION LEMMA PROOF SKETCH



Recurse on  $A$  for  $L$  levels of recursion.

Problem size shrinks by a factor of  $\delta$  each time.

# AMPLIFICATION LEMMA PROOF SKETCH



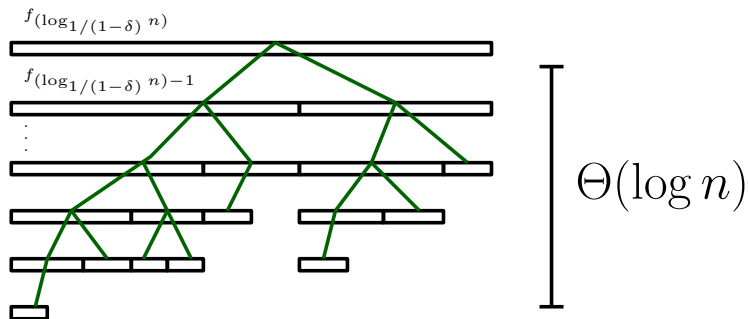
$$f'(n) \geq (1 - \delta) \sum_{\ell=0}^L f(n\delta^\ell(1 - \delta))$$

# ADAPTIVE FILLER LOWER BOUND

Let  $\epsilon > 0$  be any constant. There exists  $\delta = \Theta(1)$  such that by repeated amplification we get:

## Theorem

*There is an adaptive filling strategy that achieves backlog  $\Omega(n^{1-\epsilon})$  in running time  $2^{O(\log^2 n)}$ .*

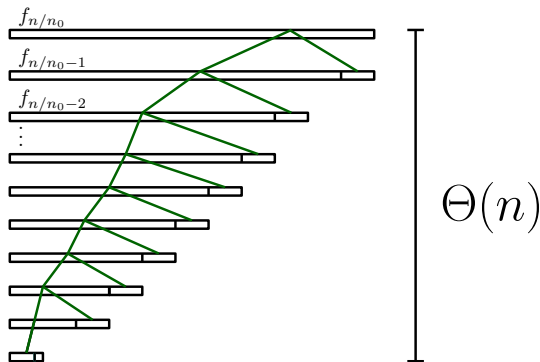


# EXTREMAL ADAPTIVE FILLER LOWER BOUND

By repeated amplification using  $\delta = \Theta(1/n)$  we get:

## Theorem

*There is an adaptive filling strategy that achieves backlog  $\Omega(n)$  in running time  $O(n!)$ .*



## OPEN QUESTIONS

- ▶ Can we extend the oblivious lower bound construction to work with arbitrary emptiers?
- ▶ Are there shorter more simple constructions?



# ACKNOWLEDGEMENTS

- ▶ My mentor William Kuszmaul
- ▶ MIT PRIMES
- ▶ My Parents

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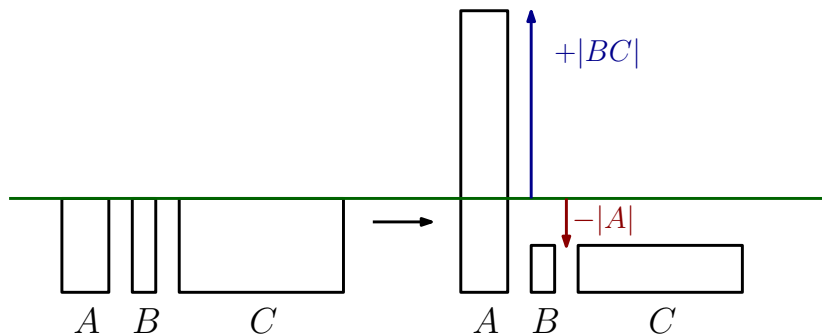
# Question Slides

## UPPER BOUND PROOF SKETCH

Induct on  $t$ . Fix  $k$ . Define sets of cups:

- ▶  $A$ : (emptied from)  $\cap$  ( $k$  fullest in  $S_t$ )  $\cap$  ( $k$  fullest in  $S_{t+1}$ )
- ▶  $B$ : (emptied from)  $\cap$  ( $k$  fullest in  $S_t$ )  $\cap$  (**not**  $k$  fullest in  $S_{t+1}$ )
- ▶  $C$ :  $AC$  is the  $k$  fullest cups in  $S_{t+1}$

$\mu_k(S_{t+1})$  is largest if fill from  $BC$  is pushed into  $A$



## NEGATIVE FILL

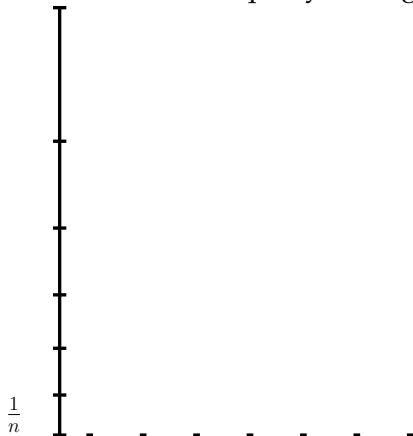
In lower bound proofs we allow *negative fill*

- ▶ Measure fill relative to average fill
- ▶ Important for recursion
- ▶ Strictly easier for the filler if cups can zero out

## SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

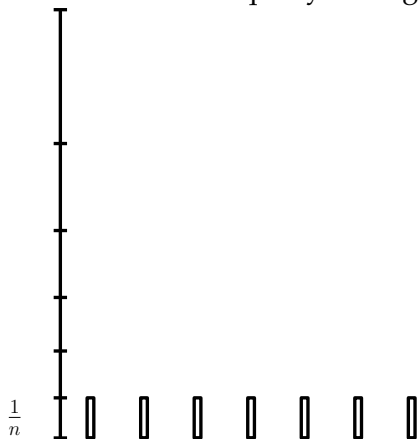
Distribute water equally amongst cups not yet emptied from.



# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

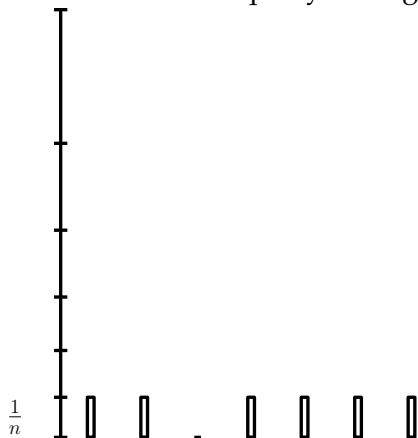
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# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

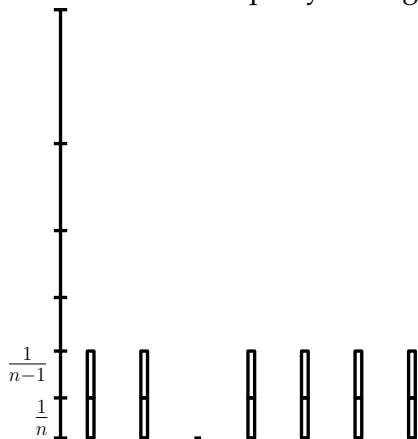
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# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

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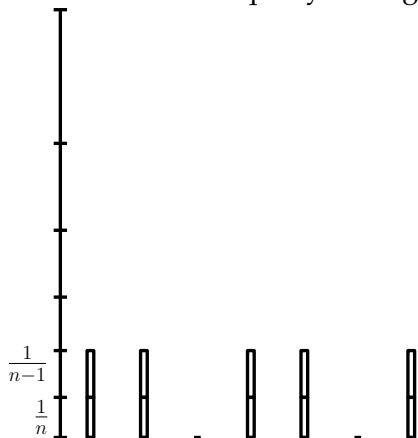




# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

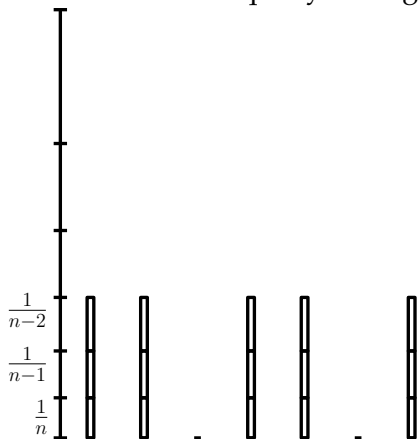
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**Filling strategy:**

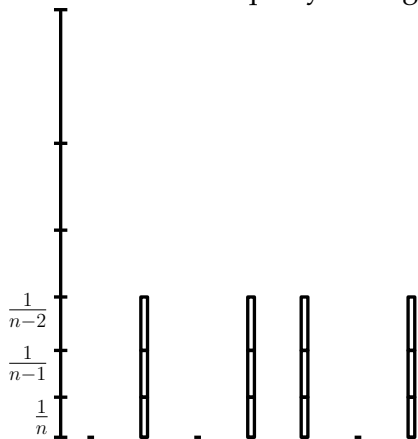
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# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

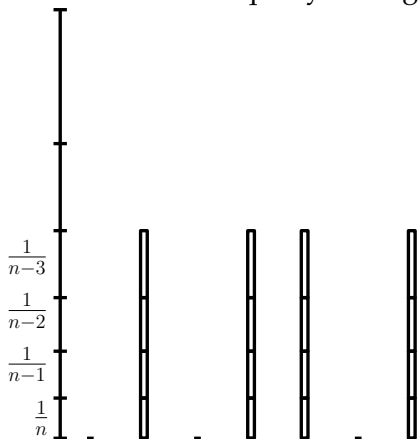
Distribute water equally amongst cups not yet emptied from.



# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

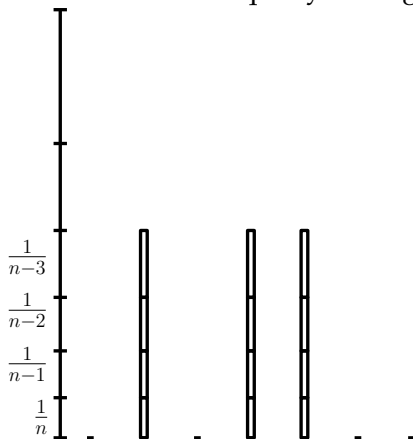
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# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

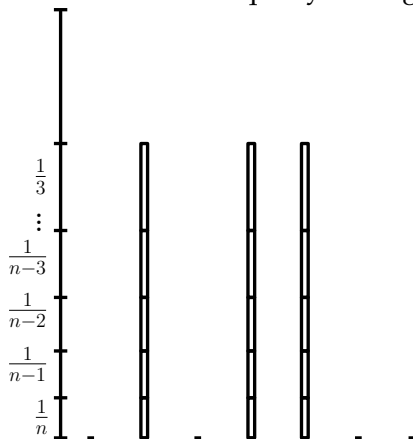
Distribute water equally amongst cups not yet emptied from.



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**Filling strategy:**

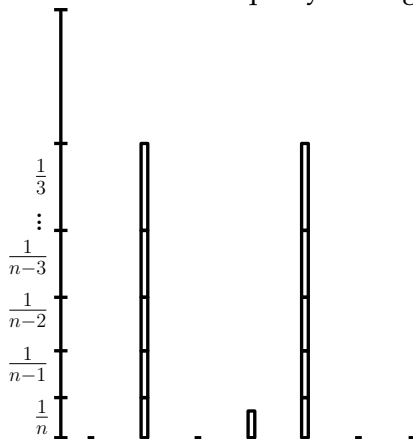
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# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

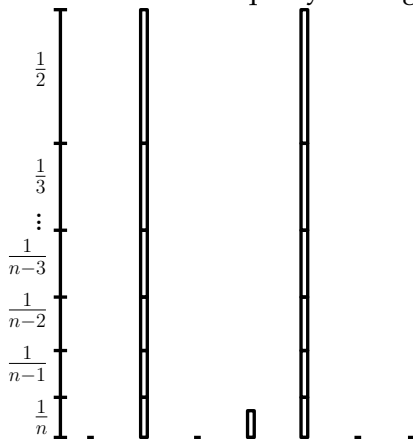
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# SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

Distribute water equally amongst cups not yet emptied from.

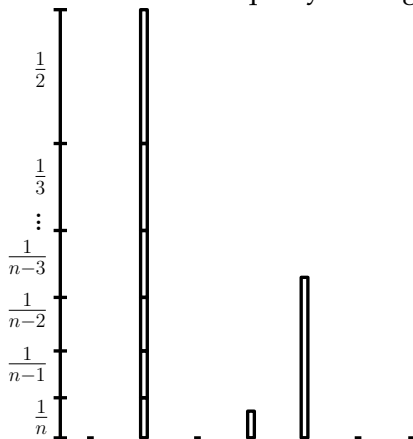




# SINGLE-PROCESSOR LOWER BOUND

## Filling strategy:

Distribute water equally amongst cups not yet emptied from.



## SINGLE-PROCESSOR LOWER BOUND

**Filling strategy:**

Distribute water equally amongst cups not yet emptied from.

Achieves backlog:

$$\frac{1}{n} + \frac{1}{n-1} + \dots + \frac{1}{2} = \Omega(\log n).$$

## SINGLE-PROCESSOR UPPER BOUND

A *greedy emptier* – an emptier that always empties from the fullest cup – never lets backlog exceed  $O(\log n)$ .

### Definitions

- ▶  $S_t$ : state at start of round  $t$
- ▶  $I_t$ : state after the filler adds water on round  $t$ , but before the emptier removes water
- ▶  $\mu_k(S)$ : average fill of  $k$  fullest cups at state  $S$ .

## SINGLE-PROCESSOR UPPER BOUND PROOF

**Proof:** Inductively prove a set of invariants:

$$\mu_k(S_t) \leq \frac{1}{k+1} + \dots + \frac{1}{n}.$$

Let  $a$  be the cup that the emptier empties from on round  $t$

**If  $a$  is one of the  $k$  fullest cups in  $S_{t+1}$ :**

$$\mu_k(S_{t+1}) \leq \mu_k(S_t).$$

**Otherwise:**

$$\mu_k(S_{t+1}) \leq \mu_{k+1}(I_t) \leq \mu_{k+1}(S_t) + \frac{1}{k+1}.$$

## PREVIOUS WORK ON CUP GAMES

- ▶ The Single-Processor cup game ( $p = 1$ ) has been tightly analyzed with *oblivious* and *adaptive* fillers (i.e. fillers that can't and can observe the emptier's actions).
- ▶ The Multi-Processor cup game ( $p > 1$ ) is substantially more difficult. With an adaptive filler:
  - ▶ Kuszmaul established upper bound of  $O(\log n)$ .<sup>4</sup>
  - ▶ We established a matching lower bound of  $\Omega(\log n)$ .
- ▶ The multi-processor cup game with an oblivious filler has not yet been tightly analyzed.
- ▶ Variants where valid moves depend on a graph have been studied.
- ▶ Variants with resource augmentation have been studied.
- ▶ Variants with semi-clairvoyance have been studied.

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<sup>4</sup>William Kuszmaul. Achieving optimal backlog in the vanilla multi-processor cup game. SIAM, 2020.

## PREVIOUS WORK — $p = 1$

Single-processor cup game

Adaptive filler:

- ▶  $\Omega(\log n)$  lower bound
- ▶  $O(\log n)$  upper bound

Oblivious filler (can't see emptier's actions):<sup>5</sup>

- ▶  $\Omega(\log \log n)$  lower bound
- ▶  $O(\log \log n)$  upper bound (with good probability in short games)

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<sup>5</sup>[M. Bender, M. Farach-Colton, and W. Kuszmaul. Achieving optimal backlog in multi-processor cup games. In Proceedings of the 51st Annual ACM Symposium on Theory of Computing (STOC), 2019.]

## PREVIOUS WORK — RESTRICTED VERSIONS

Cup flushing game (emptier can completely empty cups):<sup>6</sup>

- ▶  $\Omega(\log \log n)$  lower bound
- ▶  $O(\log \log n)$  upper bound

Bamboo Garden Trimming (filler always adds same amount):<sup>7</sup>

- ▶ 2 lower bound
- ▶ 2 upper bound

Cups are nodes in a graph, moves restricted based on graph structure.  $D$  is the diameter of the graph.

- ▶  $\Omega(D)$  lower bound
- ▶  $O(D)$  upper bound

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<sup>6</sup>[P. F. Dietz and R. Raman. Persistence, amortization and randomization. In Proceedings of the Second Annual ACM-SIAM Symposium on Discrete Algorithms (SODA), pages 78–88, 1991.]

<sup>7</sup>[Bilò, Davide, Luciano Gualà, Stefano Leucci, Guido Proietti, and Giacomo Scornavacca. "Cutting Bamboo Down to Size." arXiv preprint arXiv:2005.00168 (2020).]

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# Oblivious Filler Lower Bound



# OBLIVIOUS FILLER LOWER BOUND

## Definition

*Oblivious Filler:* Can't observe the emptier's actions

- ▶ Classically emptier does better in the randomized setting.
- ▶ But not in the variable-processor cup game!
- ▶ We get the same lower bound as with an adaptive filler in quasi-polynomial length games!

## OBLIVIOUS FILLER LOWER BOUND

### Definition

$\Delta$ -greedy-like emptier:

Let  $x, y$  be cups. If  $\text{fill}(x) > \text{fill}(y) + \Delta$  then a  $\Delta$ -greedy-like emptier empties from  $y$  *only if* it also empties from  $x$ .

Oblivious filler can achieve backlog  $\Omega(n^{1-\epsilon})$  for  $\epsilon > 0$  constant in running time  $2^{\text{polylog}(n)}$  against a  $\Delta$ -greedy-like emptier ( $\Delta \leq O(1)$ ) with probability at least  $1 - 2^{-\text{polylog}(n)}$ .

# FLATTENING

## Definition

A cup configuration is  $R$ -flat if all cups have fills in  $[-R, R]$ .

## Proposition

*Oblivious filler can get a  $2(2 + \Delta)$ -flat configuration from an  $R$ -flat configuration against a  $\Delta$ -greedy-like emptier in running time  $O(R)$ .*

## OBLIVIOUS FILLER: CONSTANT FILL

Getting constant fill in a *known* cup is hard now. Strategy:

- ▶ Play many single-processor cup games on  $\Theta(1)$  cups blindly. Each succeeds with constant probability.
- ▶ By a Chernoff Bound with probability  $1 - 2^{-\Omega(n)}$  at least a constant fraction  $nc$  of these succeed.
- ▶ Set  $p = nc$ .
- ▶ Fill  $nc$  known cups; because emptier is greedy-like it must focus on the  $nc$  cups with high fill before these cups.
- ▶ Recurse on the  $nc$  known cups with high fill.

## OBLIVIOUS AMPLIFICATION LEMMA

Almost identical to the Adaptive Amplification Lemma!

### Lemma

*Given a strategy  $f$  for achieving backlog  $f(n)$  on  $n$  cups, we can construct a new strategy that achieves backlog*

$$f'(n) \geq \phi \cdot (1 - \delta) \sum_{\ell=0}^L f((1 - \delta)\delta^\ell n)$$

*for parameters  $L \in \mathbb{N}$ ,  $0 < \delta \ll 1/2$  and constant  $\phi \in (0, 1)$  of our choice against a greedy-like emptier.*

(Note: Lemma is actually more complicated than this.)

# OBVIOUS FILLER LOWER BOUND

## Theorem

*There is an oblivious filling strategy that achieves backlog*

$$\Omega(n^{1-\epsilon})$$

*for constant  $\epsilon > 0$  with probability at least  $1 - 2^{-\text{polylog}(n)}$  in running time  $2^{O(\log^2 n)}$  against a greedy-like emptier.*

Achieve this probability by a union bound on  $2^{\text{polylog}(n)}$  events.

Proof notes:

- ▶ Similar to adaptive filler proof
- ▶ need larger base case for union bound to work; this doesn't harm backlog though